# PLC Mode-control Device for Mode-division-multiplexing Transmission

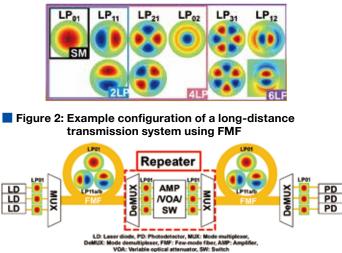
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#### 1. Mode-division-multiplexing transmission

One of the elemental technologies in space-division multiplexing (SDM) optical transmission is mode-division multiplexing (MDM), which multiplexes optical signals with multiple stationary states (namely, modes). Optical fibers with circularly symmetric cores have linear polarization (LP) modes as shown in Figure 1. An optical fiber designed to support a limited number of propagation modes is known as a few-mode fiber (FMF). (The subscripts of LPml modes represent the azimuthal (m) and radial (l) orders, respectively. For m > 0, two modes with different phases degenerate and are distinguished as LP11a and LP11b modes.) FMF achieves higher spatial multiplicity compared to multi-core fiber (MCF), where multiple cores propagating through a single mode (SM) are arranged to achieve spatial multiplicity. FMF, however, exhibits significant differences in transmission characteristics between modes, which can degrade signal recovery accuracy in MIMO signal processing-based transmission systems. This becomes a limiting factor for maximizing transmission distance, making it challenging to extend the number of modes for long-distance transmission. Therefore, in long-distance transmission systems, as shown in Figure 2, it is effective to not only perform optical amplification, but also to implement mode switching and reduce mode loss differences in the repeaters, thereby homogenizing the transmission characteristic differences between modes. This paper

Figure 1: Field distribution of LP modes propagating through fiber



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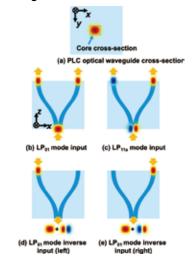
introduces a device using a silica planar lightwave circuit (PLC) that performs such mode control.

#### 2. PLC mode multiplexer/demultiplexer

A mode multiplexer (MUX) is required to excite each mode of the FMF with light waves from the semiconductor laser. Due to the reciprocity of light, a mode MUX can also function as a mode demultiplexer (DeMUX) when light is injected in the inverse direction, except in special cases. The PLC mode MUX/ DeMUX is highly integrated and enables flexible mode control as described below.

Figure 3(a) shows a cross-sectional view of a quartz-based PLC optical waveguide. The core and its surroundings are made of glass like in optical fibers, and light waves travel by total reflection within the high-refractive-index core. Made of the same material as optical fibers, it enables low-loss interconnection. By forming a Y-shaped core (hereinafter referred to as a Y-junction waveguide) as shown in Figure 3(b), it can function as an optical splitter. (Though not strictly accurate, the explanation here is in accordance with the terminology used for fiber modes). Additionally, not only the LP01 mode, as shown in Figure 3(b), but also the higher-order LP11a mode, as shown in Figure 3(c), split evenly. The output phases of these modes correspond to the symmetry of the incident modes. Due to the linearity and reciprocity of light, both the superposition state and the

## Figure 3: Light propagation in a PLC Y-junction waveguide

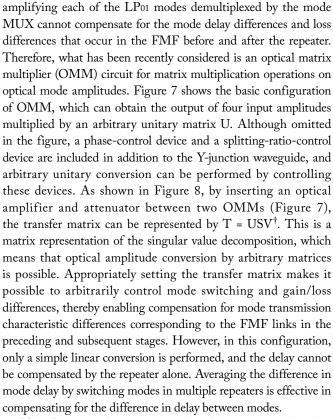


backward propagation state as shown in Figure 3(b) and Figure 3(c) are valid. In other words, as shown in Figures 3(d) and (e), it means that the LP01/LP11a modes are excited in the lower trunk waveguide when the LP01 mode is injected on one side of the upper branch waveguide. Thus, the Y-junction waveguide functions as a type of mode MUX/DeMUX.

Further, even though equal splitting can be performed with higher-order modes, due to the nature of PLC (as long as processing is done in a single layer), branching can only occur in the x-direction, leaving the order in the y-direction unchanged. Specifically, as shown in Figure 4, the LP11b/LP21b modes are converted to the LP11b mode on the branch waveguide side. However, for mode MUX/DeMUX operations, it is necessary to output the LP01 mode at the end. Therefore, a mode rotator (LP11a/LP11b mode switcher) using an L-type waveguide as shown in Figure 5 is useful. By placing this before and after the Y-junction waveguide, the order in the y-direction can be reduced. Consequently, in principle, even when modes of any order are injected, combining the Y-junction waveguide with the mode rotator allows for the output of the LP01 mode. Figures 6(a) and (b) show examples of configuration for higher-order modes, and as shown in Figure 6(a), even when the LP11b mode is injected, it is converted to the LP01 mode. When injected from the opposite side, it is converted to a superposition of the LP01, LP11a, LP11b, and LP21b modes.

### 3. PLC-mode-control device

The 4-mode MUX/DeMUX shown in Figure 6 is an example of a specific device that can be used as a mode MUX/DeMUX in the repeater shown in Figure 2. However, simply



Thus far, six-mode MUXs have already been reported, and we will focus on studying mode MUX/DeMUX and mode-control devices for further expansion of the number of modes going forward.

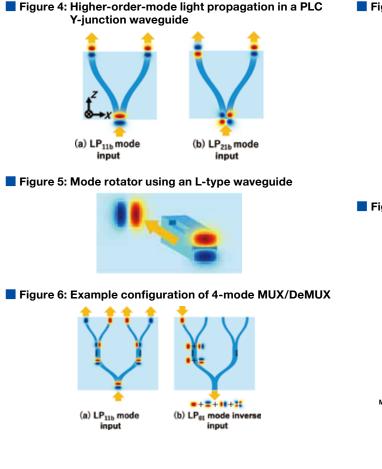
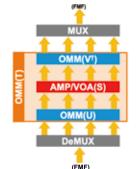


Figure 7: Example configuration of a 4x4 optical unitary converter



Figure 8: Example configuration of an arbitrary modecontrol device in a repeater



MUX: Mode multiplexer, DeMUX: Mode demultiplexer, OMM: Optical matrix multiplier, AMP: Amplifier, VOA: Variable optical attenuator U, V†: Unitary matrix, S: Real diagonal matrix, T: Arbitrarily configurable conversion matrix